

IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK

In re:

LEHMAN BROTHERS HOLDINGS INC

Lehman Brothers Holdings, Inc.

("the Debtors")

Chapter 11

Case No. 08-13555 (JMP) Jointly  
Administered

Case No. 08-13555

Claim No.: 12635

**NOTICE OF TRANSFER OF CLAIM PURSUANT TO F.R.B.P. RULE 3001 (E)(2) FOR FILED CREDITOR,  
KRAUS PARTNER INVESTMENT SOLUTIONS AG IN ITS CAPACITY A MANAGER TO THE ALTEA  
SICAV-FIS AND ACTING FOR ALTEA SICAV-FIS-GLOBAL ONE, IN THE AMOUNT OF  
\$832,497.82, TO BLUE ANGEL CLAIMS, LLC**

**To Transferor:** Kraus Partner Investment Solutions AG  
in its Capacity a Manager to the Altea  
SICAV-FIS and Acting for Altea SICAV-FIS-Global One  
Limmatquai 122  
CH-8001 Zurich  
SWITZERLAND

PLEASE TAKE NOTICE that the transfer of \$832,497.82 of the above-captioned general unsecured claim has been transferred to:

**Transferee:** Blue Angel Claims, LLC  
Attn: Anthony Yoseloff, Manager  
65 East 55<sup>th</sup> Street, 19<sup>th</sup> Floor  
New York, NY 10022

The evidence of transfer of claim is attached hereto. A copy of the Proof of Claim and a copy of the Claims Agent website listing the claim are attached.

If your objection is not timely filed, the transferee will be substituted in your place as the claimant on our records in this proceeding.

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(FOR CLERK'S OFFICE USE ONLY):

This notice was mailed to the first named party, by first class mail, postage prepaid on \_\_\_\_\_, 2009.

INTERNAL CONTROL NO. \_\_\_\_\_

Copy: (check) Claims Agent ☐ Transferee ☐ Debtors's Attorney ☐

\_\_\_\_\_  
Deputy Clerk

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, KRAUS PARTNER INVESTMENT SOLUTIONS AG ACTING IN ITS CAPACITY AS MANAGER TO THE ALTEA SICAV-FIS AND ACTING FOR ALTEA SICAV - FIS - GLOBAL ONE ("Assignor") hereby unconditionally and irrevocably sells, transfers and assigns to BLUE ANGEL CLAIMS, LLC ("Assignee") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("LBHI") (the "Debtor"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court"), and the relevant portion of any and all proofs of claim (Nos. 4866, 12635, 37653) filed by Assignor or its predecessor-in-interest with the Bankruptcy Court in respect of the foregoing claim.

Assignor hereby waives any objection to the transfer of the claim to Assignee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Assignor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Assignor transferring to Assignee the foregoing claim, recognizing Assignee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Assignee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 16 day of December 2009.

KRAUS PARTNER INVESTMENT SOLUTIONS AG  
ACTING IN ITS CAPACITY AS MANAGER TO THE  
ALTEA SICAV-FIS AND ACTING FOR ALTEA SICAV -  
FIS - GLOBAL ONE

By: [Signature]

Name: Thomas Kraus

Title: CEO

BLUE ANGEL CLAIMS, LLC

By: [Signature]

Name: Avram Friedman

Title: Manager

United States Bankruptcy Court/Southern District of New York  
Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

# PROOF OF CLAIM

In Re:  
Lehman Brothers Holdings Inc., et al.  
Debtors.

Chapter 11  
Case No. 08-13555 (JMP)  
(Jointly Administered)

UNIQUE IDENTIFICATION NUMBER: 4000004846

Filed: USBC - Southern District of New York  
Lehman Brothers Holdings Inc., Et Al.  
08-13555 (JMP) 0000012635

Name of Debtor Against Which Claim is Held  
*Lehman Brothers Holdings Inc.*

Case No. of Debtor  
*08-13555*

NOTE: This form should not be used to make a claim for an administrative expense arising after the commencement of the case. A request for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503. Additionally, this form should not be used to make a claim for Lehman Programs Securities (See definition on reverse side.)



Y

Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

LBH (MERGE2.DBF,Txnum2) Txnum2 #: 4000004846\*\*\*\*\*  
KRAUS PARTNER INVESTMENT SOLUTIONS AG  
IN ITS CAPACITY AS MANAGER TO THE ALTEA SICAV-FIS  
LIMMATQUAI 122  
ZUERICH CH-8001  
SWITZERLAND

☒ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: *4866*  
(If known)

Filed on: *June 12, 2008*

Telephone number: *414 44 267 15 17* Email Address: *rath@krauspartner.com*

Name and address where payment should be sent (if different from above)

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

☐ Check this box if you are the debtor or trustee in this case.

Telephone number: Email Address:

1. Amount of Claim as of Date Case Filed: \$ *832,497.82*

If all or part of your claim is secured, complete Item 4 below; however, if all of your claim is unsecured, do not complete item 4.

If all or part of your claim is entitled to priority, complete Item 5.

If all or part of your claim qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9), complete Item 6.

☐ Check this box if all or part of your claim is based on a Derivative Contract.\*

☒ Check this box if all or part of your claim is based on a Guarantee.\*

\*IF YOUR CLAIM IS BASED ON AMOUNTS OWED PURSUANT TO EITHER A DERIVATIVE CONTRACT OR A GUARANTEE OF A DEBTOR, YOU MUST ALSO LOG ON TO <http://www.lehman-claims.com> AND FOLLOW THE DIRECTIONS TO COMPLETE THE APPLICABLE QUESTIONNAIRE AND UPLOAD SUPPORTING DOCUMENTATION OR YOUR CLAIM WILL BE DISALLOWED.

☒ Check this box if claim includes interest or other charges in addition to the principal amount of the claim. Attach itemized statement of interest or additional charges. Attach itemized statement of interest or charges to this form or on <http://www.lehman-claims.com> if claim is based on a Derivative Contract or Guarantee.

2. Basis for Claim: *collateral & open trades & out-of-pocket expenses and interest*  
(See instruction #2 on reverse side.)

3. Last four digits of any number by which creditor identifies debtor:

3a. Debtor may have scheduled account as: *ALTEA SICAV-FIS or "Kraus Partner" (transfer of claim)*  
(See instruction #3a on reverse side.)

4. Secured Claim (See instruction #4 on reverse side.)

Check the appropriate box if your claim is secured by a lien on property or a right of setoff and provide the requested information.

Nature of property or right of setoff: ☐ Real Estate ☐ Motor Vehicle ☐ Other

Describe:

Value of Property: \$ Annual Interest Rate %

Amount of arrearage and other charges as of time case filed included in secured claim, if any:

\$ Basis for perfection:

Amount of Secured Claim: \$ Amount Unsecured: \$

6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. § 503(b)(9): \$  
(See instruction #6 on reverse side.)

7. Credits: The amount of all payments on this claim has been credited for the purpose of making this proof of claim.

8. Documents: Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages and security agreements. Attach redacted copies of documents providing evidence of perfection of a security interest. (See definition of "redacted" on reverse side.) If the documents are voluminous, attach a summary.

DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING.

If the documents are not available, please explain:

Date:

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

*Thomas Kraus, CEO*

5. Amount of Claim Entitled to Priority under 11 U.S.C. § 507(a). If any portion of your claim falls in one of the following categories, check the box and state the amount.

Specify the priority of the claim:

☐ Domestic support obligations under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

☐ Wages, salaries or commissions (up to \$10,950), earned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier - 11 U.S.C. § 507(a)(4).

☐ Contributions to an employee benefit plan - 11 U.S.C. § 507(a)(5).

☐ Up to \$2,425 of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. § 507(a)(7).

☐ Taxes or penalties owed to governmental units - 11 U.S.C. § 507(a)(8).

☐ Other - Specify applicable paragraph of 11 U.S.C. § 507(a)( ).

Amount entitled to priority:

\$

FOR COURT USE ONLY

FILED / RECEIVED

SEP 14 2009

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646 282 2400

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### Lehman Brothers Holdings Inc. (Chapter 11)

Filed Claims and Schedules						
Claim #	12635	Name Starts With			Debtor	...
Schedule #		Total Claim Value	Equals		Scope	Claims and Schedules
Claim Date Range			to			
Order By	Creditor Name	Results Per Page			10	<input type="button" value="Search"/> <input type="button" value="Clear"/>
Page 1 of 1				Page <input type="text"/> Go		
Claim #	Schedule #	Creditor Name	Date	Total Claim Value		
12635		KRAUS PARTNER INVESTMENT SOLUTIONS AG IN ITS CAPACITY AS MANAGER TO THE ALTEA LIMMATQUAI 122 ZUERICH, CH-8001 SWITZERLAND  Debtor: LEHMAN BROTHERS HOLDINGS, INC.	9/14/2009	\$832,497.82 Claim Unsecured Amount: \$832,497.82  Remarks: Amends claim number 4866 POSSIBLE AMENDED CLAIM	<a href="#">Image</a>	
Claims 1-1 of 1						

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